

**Draft Internal Audit Plan 2013/14**

<b>Audit Days 2012/13</b>		<b>Risk Score*</b>	<b>Audit Days 2013/14</b>
40	<b>Corporate Governance Arrangements</b>		40
	AGS - Internal Audit Opinion	MAN	
	Corporate Governance - CRSA	MAN	
	Risk Management Arrangements audit review	MAN	
	Corporate Governance support	MAN	
200	<b>Key financial and Non-financial Systems</b>		200
	Financial Assessments and Benefits	101	
	SAP Application controls - policy, roles and access	99	
	Accounts Payable	99	
	Capital expenditure monitoring	97	
	Payroll	97	
	Accounts Receivable	96	
	Revenue budget control	94	
	Treasury Management	94	
	General Ledger	93	
	Pension Administration	85	
	Pension Fund Investments	84	
30	<b>Grants</b>		20
	EU Grants	MAN	
	Government Grants	MAN	
110	<b>Contract Reviews</b>		120
	UNICORN (Public Service Network)	86	
	Supply of ASC Equipment	83	
	Central contract management	79	
	Youth Service Commissions	79	
	Library Service global transport van service	76	
	Walton Bridge contract	76	
	<b>Service Reviews</b>		
150	<b>ASC</b> Domiciliary care external providers	85	135
	Supported Accommodation & Independent Living Service(SAILS)	85	
	Serious Case Review - recommendation tracking	83	
	AIS assessment process	82	
	ASC Safeguarding Assurance Process	80	
	ASC Commissioning Framework	79	
295	<b>CSF</b> Schools compliance	88	230
	ICS Phase 2 - Payments to providers	86	
	Free school meals	82	
	Schools SFVS process	82	
	Community Homes	81	
	SIMS	81	
	Short Stay Schools	78	
	Homes for Children with Disabilities	78	

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50	<b>C&amp;C</b>	Adult and Community Learning	84	75
		Customer Services	79	
		Surrey Arts	78	
		Music Tuition	77	
		Blue Badges	77	
315	<b>C&amp;E</b>	Property Asset Management system (PAMS)	91	298
		Social Care Debt	85	
		SFRS Capital Project Management	83	
		Surrey Local Assistance Scheme	83	
		Finance Dashboard	80	
		Insurance	79	
		Management of CITRIX systems	79	
		Data Centre	79	
		Imprest Accounts	79	
		Smallholdings	78	
		Shared Service Partnership arrangements	78	
		Officer Interests	78	
		Appraisal and PDP	78	
		Energy Management	78	
		Employee Expenses	78	
		People Strategy	76	
		Trust Funds	76	
65	<b>CEO</b>	Information Governance	82	75
		Communications	81	
		Support of Cabinet and Member Scrutiny	78	
		Community Budgets	78	
		Community Improvement Fund	77	
105	<b>E&amp;I</b>	Carbon Reduction Scheme	MAN	130
		Waste Charges	87	
		Waste Data System	84	
		Local Sustainable Transport Fund	80	
		Asset Management (Highways)	79	
		Highway Property Information	79	
		European office	77	
10	<b>PH</b>	Public Health	84	30
50	<b>Follow-up Audits including</b>			50
		Direct Payments		
		Residential Care Homes		
		Children in care dental and health checks		
		Special Schools – in-house residential		
		Purchase Cards		

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136	<b>Client support and Service liaison</b>		136
50	Innovation support/follow-up		50
301	<b>Irregularity and Special Investigation including Fraud Prevention</b>		345
	NFI - support to other LAs		
	NFI Data Matching Exercise		
	Audit Web Page		
	Anti fraud and data interrogation		
	Irregularity contingency		
294	<b>Internal Management, Corporate Support and Organisational Learning</b>		294
	Audit Planning and Management		
	Audit Manual and Effectiveness Review Action Plan		
	Corporate Support		
	Audit Management System Upgrade		
	Audit and Governance Committee Support		
	Member Support and Training		
<b>2201</b>	<b>Total Audit Days</b>		<b>2228</b>

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